

## ATTACHMENT 5

### FY 2024-26 Overall DBE Three-Year Goal Methodology

**Name of Recipient:** Lake County (Leadville - Lake County Airport)

**Goal Period:** FY 2024-26 (10/1/2023 through 9/30/2026)

**DOT-assisted contract amounts:**

Fiscal Year 2024	\$600,000
Fiscal Year 2025	\$0
Fiscal Year 2026	\$225,000
<b>FY 2024-26 Totals</b>	<b>\$825,000</b>

**Overall Three-Year Goal:** 0.42%, to be accomplished through:  
0.42% Race Conscious (RC), and  
0.0% Race Neutral (RN)

**Total dollar amount to be expended on DBEs:** \$3,495

**Describe the Number and Type of Contracts that the airport anticipates awarding:**

Contracts in Fiscal Year 2024:

1. Airfield Pavement Maintenance – 2024 \$600,000

Contracts in Fiscal Year 2025:

*No Federally Funded Projects*

Contracts in Fiscal Year 2026:

1. Airfield Pavement Maintenance – 2026 \$225,000

**Market Area**

The market for the Leadville-Lake County Airport includes Lake County and the surrounding counties of Chaffee, Eagle, Gunnison, Park, Pitkin, and Summit.

**Step 1. Actual relative availability of DBEs**

The base figure for the relative availability was calculated as follows:

Method: Use Colorado United Certification Program (UCP) DBE Directories (<https://coucp.dbesystem.com>) and Census Bureau Data (<https://data.census.gov>).

Weighted Availability of DBE firms:

**Fiscal Year 2024**

For 10/1/2023 - 9/30/2024, award of the following is anticipated:

Project Name	Trade Description	NAICS Description	NAICS	Trade (\$)	DBE Directory	Census	DBE (%)	DBE (\$) (= Trade \$ x DBE %)
Airfield Pavement Maintenance - 2024	Engineering & Construction Administration	Engineering Services	541330	\$170,000	1	78	1.3%	\$2,210
Airfield Pavement Maintenance - 2024	Heavy and Civil Engineering Construction - <i>Airports</i>	Heavy and Civil Engineering Construction	237310	\$400,000	0	6	0.0%	\$0
Airfield Pavement Maintenance - 2024	QA Testing	Testing Laboratories	541380	\$15,000	0	3	0.0%	\$0
Airfield Pavement Maintenance - 2024	All Other Specialty Trade Contractors	All Other Specialty Trade Contractors	238990	\$15,000	1	45	2.2%	\$330
Airfield Pavement Maintenance - 2024				\$600,000			0.4%	\$2,540
<b>Fiscal Year 2024 Total</b>				<b>\$600,000</b>			<b>0.4%</b>	<b>\$2,540</b>

**Fiscal Year 2025**

For 10/1/2024 - 9/30/2025, no federally funded projects are anticipated to be award during this period.

**Fiscal Year 2026**

For 10/1/2025 - 9/30/2026, award of the following is anticipated:

Project Name	Trade Description	NAICS Description	NAICS	Trade (\$)	DBE Directory	Census	DBE (%)	DBE (\$) (= Trade \$ x DBE %)
Airfield Pavement Maintenance - 2026	All Other Specialty Trade Contractors	All Other Specialty Trade Contractors	238990	\$5,000	1	45	2.2%	\$110
Airfield Pavement Maintenance - 2026	Engineering & Construction Administration	Engineering Services	541330	\$65,000	1	78	1.3%	\$845
Airfield Pavement Maintenance - 2026	Heavy and Civil Engineering Construction - <i>Airports</i>	Heavy and Civil Engineering Construction	237310	\$150,000	0	6	0.0%	\$0
Airfield Pavement Maintenance - 2026	QA Testing	Testing Laboratories	541380	\$5,000	0	3	0.0%	\$0
Airfield Pavement Maintenance - 2026				\$225,000			0.4%	\$955
<b>Fiscal Year 2026 Total</b>				<b>\$225,000</b>			<b>0.4%</b>	<b>\$955</b>

The base goal projection after weighting is as follows:

- Total Weighted DBE Availability: **\$3,495**
- Total for All Trades: **\$825,000**

Dividing the weighted DBE totals by the total estimate for all trades gives a base DBE availability figure for the projects anticipated during the goal-setting period. This figure is expressed as a percentage and serves as the basis for the three-year overall goal.

Base of DBE Goal: **0.42%**

## **Step 2: Adjustments to Step 1 base figure**

Not enough historical data on DBE participation is available to reference to make an adjustment to the Step 1 base figure; therefore, Lake County is adopting the Step 1 base figure of **0.42%** as the overall goal for the FY 2024-26 goal period.

Furthermore, there are no applicable disparity studies for the local market area or recent legal case information from the relevant jurisdictions to show evidence of barriers to entry or competitiveness of DBEs in the market area that is sufficient to warrant making an adjustment to the base goal.

## **Breakout of Estimated “Race and Gender Neutral” (RN) and “Race and Gender Conscious” (RC) Participation**

Lake County will meet the maximum feasible portion of the overall goal by using race neutral means of facilitating DBE participation.

1. Arranging solicitations, times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitates DBE, and other small businesses, participation.
2. Carrying out information and communications programs on contracting procedures and specific contract opportunities.

Leadville-Lake County Airport estimates that in meeting the established overall goal of **0.42%**, it will obtain **0.0%** from race neutral participation and **0.42%** through race conscious measures.

This breakout is based on:

Leadville-Lake County Airport has a limited history of DBE Participation to reference and expects to obtain its DBE participation using DBE contract goals or a conscious effort to obtain DBE Participation. The planned pavement maintenance projects in FY24 and FY26 will primarily include seal coat, crack seal, and pavement markings. This greatly limits the opportunity for DBE participation given the availability of DBE firms who perform this work in the Market Area. Therefore, the entire goal of **0.42%** will be accomplished through race-conscious means.

Leadville-Lake County Airport will adjust the estimated breakout of race neutral and race conscious DBE participation as needed to reflect actual DBE participation (see §26.51(f)) and track and report race neutral and race conscious participation separately. For reporting purposes, race neutral DBE participation includes, but is not necessarily limited to the following: DBE participation through a prime contract obtained through customary competitive procurement procedures; DBE participation through a subcontract on a prime contract that does not carry a DBE goal, DBE participation on a prime contract exceeding a contract goal, and DBE participation through a subcontract from a prime contractor that did not consider a firm’s DBE status in making the award.

## **Consultation**

In establishing the overall goal, Lake County provided for consultation and publication. This process included consultation with minority, women’s, and general contractor groups, community organizations, and other officials or organizations which could be expected to have information concerning the availability of disadvantaged and non-disadvantaged businesses, the effects of discrimination on opportunities for DBEs, and the Lake County’s efforts to establish a level playing field for the participation of DBEs. The consultation included a scheduled, direct, interactive exchange with as many interested stakeholders as possible focused on obtaining information relevant to the goal setting process, and was conducted before the goal methodology was submitted to the operating

administration for review. Details of the consultation are as follows.

The consultation engaged in a teleconference (via Microsoft Teams) held on September 12, 2023, at 2:00pm.

*<insert comments received during the meeting>*

A notice of the proposed goal was published on the Leadville-Lake County Airport's official website before the methodology was submitted to Federal Aviation Administration.

If the proposed goal changes following review by Federal Aviation Administration, the revised goal will be posted on Leadville-Lake County Airport's official website.

Notwithstanding paragraph (f)(4) of §26.45, Lake County proposed goals will not be implemented until this requirement has been met.

***Sample Public Notice Language:***

**PUBLIC NOTICE**

Lake County hereby announces its proposed Disadvantaged Business Enterprise (DBE) participation goal of **0.42%** for FAA-funded contracts/agreements. The proposed goal pertains to federal fiscal years 2024 through 2026 (10/1/2023 - 9/30/2026).

A teleconference (via Microsoft Teams) will be held on September 12, 2023, at 2:00 pm for the purpose of consulting with stakeholders to obtain information relevant to the goal-setting process. Those wishing to participate can phone into the meeting by dialing (984) 204-1608 and when prompted use Conference ID: 439 348 457#.

The draft document of the **FY 2024-26 Overall DBE Goal Methodology for Leadville-Lake County Airport** can be viewed on the Airport's website.

(<http://www.klxvairport.com>)