

ATTACHMENT 5

FY 2023 Overall DBE Goal Methodology

Name of Recipient: Leadville-Lake County Airport – Lake County, Colorado

Goal Period: Fiscal Year 2023 (10/1/2022 through 9/30/2023)

DOT-assisted contract amount: FY 2023 Totals \$ 888,000

Overall FY-2023 Goal: 2.45%, to be accomplished through:
2.45% Race Conscious (RC), and
0% Race Neutral (RN)

Total dollar amount to be expended on DBEs: \$21,757

Describe the Number and Type of Contracts that the airport anticipates awarding:

Contracts in Fiscal Year 2023:

1. Construct Taxilane R1 - \$888,000

Market Area: The market area used to develop the consultant and contractor list for the Construct Taxilane R1 includes Lake County and the surrounding counties of Chaffee, Eagle, Gunnison, Park, Pitkin, and Summit.

Step 1. Actual relative availability of DBEs

The base figure for the relative availability was calculated as follows:

Method: Use Colorado United Certification Program (UCP) DBE Directories (<https://coucp.dbesystem.com>) and Census Bureau Data (<https://data.census.gov>).

Weighted Availability of DBE firms:

For FY 2023, award of the following is anticipated:

A	B	C	D	E	F	G	H	I
Contract Name	Trade Description	NAICS Description	NAICS	Trade (\$)	Census	DBE Directory	DBE (%) (=G/F)	DBE (\$) (=ExH)
Construct Taxilane R1	Install Storm Sewer	Water and Sewer System Construction	237110	\$110,000	23	0	0.0%	\$ -
	Asphalt Paving and Runway, Airport, Line Painting	Highway, Street and Bridge Construction	237310	\$77,000	9	2	22.2%	\$ 17,111
	Earthwork and Demolition	Site Preparation Contractors	238910	\$237,000	186	1	0.5%	\$ 1,275
	Bituminous Mix Supply	Asphalt Paving Mixture	324121	\$79,000	0	0	0.0%	\$ -
	Concrete Pipe Supply	Concrete Pipe	327332	\$117,500	0	0	0.0%	\$ -
	Materials Hauling	Specialized Freight Trucking, Local	484220	\$22,000	60	6	10.0%	\$ 2,200
	Engineering Design and Construction Services	Engineering Services	541330	\$185,000	158	1	0.6%	\$ 1,171
	Seeding and Erosion Control	Landscaping Services	561730	\$60,500	399	0	0.0%	\$ -
Total Contract				\$888,000	835	10	2.45 %	\$ 21,727
Total FY 2023				\$888,000	835	10	2.45%	\$ 21,727

The base goal projection after weighting is as follows:

- Total Weighted DBE Availability: **\$21,757**
- Total for All Trades: **\$888,000**

Dividing the weighted DBE totals by the total estimate for all trades gives a base DBE availability figure for the projects anticipated during the goal-setting period. This figure is expressed as a percentage and serves as the basis for the overall FY 2023 goal.

Base of DBE Goal: **2.45%**

Step 2: Adjustments to Step 1 base figure

The data used to determine the adjustment to the based figure was:

a. Past Participation

Year	DBE% Goals	Actual DBE %
2018	2.40	6.37
2019	2.40	2.24
2020	0.0	0.0
2021	0.0	0.0
2022	0.0	0.0

Two projects were completed between 2018 and 2019, otherwise DBE participation has been 0% for 2020 through 2022.

The project completed in 2018 consisted of the rehabilitation of Runway 16-34 and all airfield taxiways. The construction and engineering cost of the project was approximately \$5.7 million. The significant construction work provided under this contact was able to draw contractors outside of the local counties from the Denver metropolitan area. This allowed the prime contractor to exceed the yearly DBE goal, due to the increased DBE interest in work on the project.

The project completed in 2019 consisted of the Rehabilitation of the General Aviation Apron. The construction and engineering cost of the project was approximately \$700,000. This project was more typical of the projects completed at the airport. The actual DBE was less than the goal for this project, even with good faith efforts due to the limited work on the project and availability of local DBEs.

Due to the nature of the project anticipated for award in 2023, no adjustment will be made to the base goal. The anticipated awards are similar in scope to the project completed in 2019, and is expected to face similar issues in meeting the goal.

- b. Disparity Study - The State of Colorado Disparity Study was updated in 2020. That study discussed the disparity of DBE utilization for Colorado state projects. The study did find under representation within the state of Colorado. Therefore, there may be some under representation within our search area; however, since the study did not address this search area specifically, we elect to not adjust the goal for this project. None of that data presented in this study is separated by county.

Furthermore, there are no applicable disparity studies for the local market area or recent legal case information from the relevant jurisdictions to show evidence of barriers to entry or competitiveness of DBEs in the market area that is sufficient to warrant making an adjustment to the base goal.

Breakout of Estimated “Race and Gender Neutral” (RN) and “Race and Gender Conscious” (RC) Participation.

Lake County will meet the maximum feasible portion of the overall goal by using RN means of facilitating DBE participation.

Race-neutral means include, but are not limited to the following:

1. Arranging solicitations, times for the presentation of bids, quantities, specifications, and delivery schedules in ways that facilitates DBE, and other small businesses, participation;
2. Providing assistance in overcoming limitations such as inability to obtain bonding or financing;
3. Providing technical assistance and other services;
4. Carrying out information and communications programs on contracting procedures and specific contract opportunities;
5. Implementing a supportive services program to develop and improve immediate and long-term business management, record keeping, and financial and accounting capability for DBEs and other small businesses;
6. Providing services to help DBEs and other small businesses improve long-term development, increase opportunities to participate in a variety of kinds of work, handle increasingly significant projects, and achieve eventual self-sufficiency;
7. Establishing a program to assist new, start-up firms, particularly in fields in which DBE participation has historically been low;
8. Ensuring distribution of DBE directory, through print and electronic means, to the widest feasible universe of potential prime contractors;
9. Assist DBEs and other small businesses, to develop their capability to utilize emerging technology and conduct business through electronic media; and

Lake County estimates that in meeting the established overall goal of **2.45%**, it will obtain **0%** from RN participation and **2.45%** through RC measures.

- a) The Recipient expects to obtain its DBE participation through the use of DBE contract goals or a conscious effort to obtain DBE participation. Therefore, the entire goal of 2.45% is to be obtained through race-conscious participation.

Lake County will adjust the estimated breakout of RN and RC DBE participation as needed to reflect actual DBE participation [see §26.51(f)] and track and report RN and RC participation separately. For reporting purposes, RN DBE participation includes, but is not necessarily limited to the following: DBE participation through a prime contract obtained through customary competitive procurement procedures; DBE participation through a subcontract on a prime contract that does not carry a DBE goal, DBE participation on a prime contract exceeding a contract goal, and DBE participation through a subcontract from a prime contractor that did not consider a firm’s DBE status in making the award.

PUBLIC PARTICIPATION

In establishing the overall goal, Lake County provided for consultation and publication. This process included consultation with minority, women's, and general contractor groups, community organizations, and other officials or organizations which could be expected to have information concerning the availability of disadvantaged and non-disadvantaged businesses, the effects of discrimination on opportunities for DBEs, and Lake County's efforts to establish a level playing field for the participation of DBEs. The consultation included a scheduled, direct, interactive exchange with as many interested stakeholders as possible focused on obtaining information relevant to the goal setting process, and was conducted before the goal methodology was submitted to the operating administration for review. Details of the consultation are as follows.

The consultation engaged in a teleconference (via Microsoft Teams) held on September 12, 2023, at 2:00pm.

The following comments were received during the course of the consultation:

<insert comments received during the meeting>

A notice of the proposed goal was published on the Lake County's official website before the methodology was submitted to the FAA.

If the proposed goal changes following review by FAA, the revised goal will be posted on Lake County's official website.

Notwithstanding paragraph (f)(4) of §26.45, Lake County's proposed goals will not be implemented until this requirement has been met.

Sample Public Notice Language:

PUBLIC NOTICE

Lake County hereby announces its proposed Disadvantaged Business Enterprise (DBE) participation goal of **2.45%** for FAA funded contracts/agreements. The proposed goal pertains to federal fiscal year 2023 (10/1/2022 – 9/30/2023).

A teleconference (via Microsoft Teams) will be held on September 12, 2023, at 2:00 pm for the purpose of consulting with stakeholders to obtain information relevant to the goal-setting process. Those wishing to participate can phone into the meeting by dialing (984) 204-1608 and when prompted use Conference ID: 439 348 457#.

The draft document of the **FY 23 Overall DBE Goal Methodology for Leadville-Lake County Airport** can be viewed on the Airport's website.

(<http://www.klxvairport.com>)